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Assistant commissioner for Patents, Washington, D.C.

Kevin G. Rooney, Reg. No. 36,330

Date

PATENT TO 3700 MAIL ROOM

Applicants:

Warren P. Williamson IV et al.

Serial No:

09/986,260

Filing Date:

November 8, 2001

Art Unit:

3731

Examiner:

Unknown

Title:

SURGICAL CLAMP DEVICES AND METHODS ESPECIALLY

USEFUL IN CARDIAC SURGERY

Atty Docket:

IDX-02A

Cincinnati, Ohio 45202

February 4, 2002

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

INFORMATION DISCLOSURE STATEMENT

In accordance with the duty of candor and good faith imposed by 37 C.F.R. §1.56 and means of complying therewith according to 37 C.F.R. §1.97 and 1.98, the references listed on the attached Form PTO-1449 are called to the attention of the United States Patent and Trademark Office in

connection with the above-identified patent application. Copies of the cited references are enclosed herewith.

The Examiner is urged to consider all of the cited documents and to make an independent evaluation of the teachings and materiality of each.

Applicants do not believe that any fees are due in connection with this submission. However, if such petition is due or any fees are necessary, the Commissioner may consider this to be a request for such and charge any necessary fees to deposit account 23-3000.

Respectfully submitted,

WOOD, HERRON & EVANS, L.L.P.

Kevin G. Roone

Reg. No. 36,330

2700 Carew Tower 441 Vine Street Cincinnati, OH 45202 (513) 241-2324 K:\IDX\02A\ids.wpd

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	A.A	6 1 1 0 3 8							9/1898	Lohman				RUC	2
	A.B	2 2 3 4 6 8						6	3/1941	Walter		99	256	3	
	A.C	2	6	6	2	5	2	4	12/1953	Hudgins		128	215		
	A.D	2	9	3	5	0	6	8	5/1960	Donaldson		128	348		
-	A.E	3	0	1	9	7	9	0	2/1962	Militana		18	322		
	A.F	3	5	3	8	9	1	7	11/1970	Selker		128	326		
•	A.G	3 7 3 0 1 8						6	5/1973	Edmunds, Jr. et a	ıl.	128	325		
	A.H	3 7 4 6 0 0						2	7/1973 Haller			128	322		
	A.I	3 8 2 5 0 1					1	1	7/1974		128	346			
	A.J	3 8 6 6 6 1				1	0	2/1975	Kletschka	•	128	322			
	A.K	3	8	8	0	1	6	6	4/1975	Fogarty		128	325		
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	В.А	4	1	1	2	9	4	4	9/1978	Willia	ms		128	214		
	в.в	4	4	8	4	9	1	1	11/1984	Berlin	et al.		604	174		
	B.C	4 5 3 1 5 1 9						9	7/1985	Dunn	et al.		128	327		
	B.D	4 6 1 9 2 4					4	6	10/1986	Molga	ard-Nielsen	et al.	128	1		
	B.E	4 6 6 2 8 8					8	5	5/1987	DiPisa	ı, Jr.		623	12		
	B.F	4	6	7	1	2	8	2	6/1987	Tretba	Tretbar 12		128	346		
	B.G	4	7	1	2	5	5	1	12/1987	Rayha	nabad		128	334		
	В.Н	4	7	9	2	3	3	0	12/1988	Lazar	us et al.		604	174		
	B.I	4	7	9	3	3	4	8	12/1988	Palma	ız		128	325		
	B.J	4	8	1	7	6	0	0	4/1989	Herms et al.			128	303		
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	C.A	4 8 3 2 0 5 5 5/1989 Palestrant							5/1989	Palestrant		128	899		90
	С.В	4	9	1	9	6	5	1	4/1990	Doane		604	96		
	C.C	4	9	4	6	4	6	3	8/1990	Wright		606	158		
	C.D	4	9	6	9	8	9	1	11/1990	Gewertz		606	200		
	C.E	4	9	7	9	9	3	7	12/1990	Khorasani		604	8		
	C.F	5	0	7	4	8	7	0	12/1991	von Zeppelin		606	158		
	C.G	5	1	0	8	4	2	0	4/1992	Marks	606	213			
	С.Н	5	1	3	1	3	7	9	7/1992 Sewell, Jr.			128	4		
	C.1	5	1	3	3	7	2	4	7/1992	Wilson, Jr. et al.		606	151		
	C.J	5	1	6	0	3	4	2	11/1992	Reger et al.		606	200		
	C.K	5	2	2	4	9	3	1	7/1993	Kumar		604	51		
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT **APPLICANT** Warren P.

Williamson IV et al.

FILING DATE

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(Use several sheets if necessary) November 8, 2001 3731 (37 CFR 1.98(b)) **U.S. PATENT DOCUMENTS** ယ **ISSUE EXAMINER** SUBCLASS: PATENT NUMBER DATE **PATENTEE** CLASS INITIAL APPROPRIATE 2 5 8 8/1993 Metais 606 200 D.A 3 D.B 5 2 3 6 4 3 8/1993 Wilk et al. 606 207 D.C 5 2 5 0 9 5 10/1993 Harvey 604 115 2 8 2/1994 606 158 D.D 5 8 2 1 2 Suarez, Jr. 3 7/1994 606 194 D.E 3 8 Hill 3 2 3 8 8/1994 Haber et al. 606 205 D.F 5 4 5 3 5 0 3 8 9/1994 Clement et al. 606 108 D.G 4 5 8 5/1995 Dibie et al. 606 200 D.H 4 5 8 2 10/1995 Ueda 606 158 D.I 9 9 5 4 9 9 6 3/1996 Hill 606 201 D.J 5 5 2 2 8 3 8 6/1996 Hill 606 201 D.K FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS TRANSLATION DOCUMENT **PUBLICATION COUNTRY OR** PATENT OFFICE CLASS **SUBCLASS** (YES/NO) NUMBER DATE D.L D.M D.N D.O D.P D.Q OTHER DOCUMENTS (Including Author, Title, Date, Place of Publication) D.R D.S D.T **DATE CONSIDERED EXAMINER**

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Substitute Disclosure Form (PTO-1449)

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	E.C	5	5	5	6	4	1	2	9/1996	Hill		606	194		
	E.D	5	5	6	9	2	7	4	10/1996	Rapacki et al.		606	58		
	E.E	5	6	1	8	3	0	7	4/1997	Donlon et al.		606	205		
	E.F	5	6	2	4	4	5	4	4/1997	Palti et al.		606	151		
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	E.J	5 7 0 4 9 2 5 1/1998 Otte				Otten et al.		604	272						
	E.K	5	7	4	1	2	8	3	4/1998	Fahy		606	157		
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	F.A	5	7	4	6	7	6	7	5/1998	Smith		606	200			
	F.B	5	7	5	5	6	8	7	5/1998	Donlon	-	604	53			
-	F.C	5	7	6	9	8	1	6	6/1998	Barbut et al.		604	96			
	F.D	5	8	0	0	5	2	5	9/1998	Bachinski et al.		623	1			
	F.E	5	8	0	3	9.	1	9	9/1998	Hart et al.	_	604	167			
	F.F	5 8 1 4 0 6					6	4	9/1998	Daniel et al.		606	200			
	F.G	5	8	2	7	3 2 4 10/1998 Cassell et al.						606	200			
	F.H	5	8	3	6	9	6	9	11/1998	Kim et al.		606	200	200		
	F.I	5	8	4	6	2	6	0	12/1998	Maahs		606	200			
	F.J	5	8	5	5	5	9	0	1/1999	Malecki et al.		606	205			
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